MARS Record FST/PTO 06 DEC 2005

FORM PTO 1390 (REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

'ATTORNEY DOCKET NUMBER 2005-1423A

U.S. APPLICATION NO.
(If known, see 37 CFR) / 559669
NEW 10/559669

International Application No.

PCT/JP2004/009577

International Filing Date June 30, 2004

Priority Date Claimed July 4, 2003

Title of Invention

SUBSTRATE PROCESSING SYSTEM

Applicant(s) For DO/EO/US Takao HORIUCHI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [X] is transmitted herewith (required only if not transmitted by the International Bureau). ATTACHMENT A
 - b. [] has been transmitted by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An (EXECUTED) oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT B
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT C
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

ATTACHMENT D

13.•[X] A FIRST preliminary amendment. ATTACHMENT E

[] A SECOND or SUBSEQUENT preliminary amendment.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

14. [X] Other items or information:

Written Opinion of the International Searching Authority - ATTACHMENT F

Notification Concerning Submission or Transmittal of Priority Document - ATTACHMENT G

IAP9 Rec'd PCT/PTO 06 DEC 2005 INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NO. PCT/JP2004/009577 NEW 2005-1423A PTO USE ONLY CALCULATIONS 15. [X] The following fees are submitted Basic National Stage Fee National Stage Search Fee. \$400.00 \$900.00 **ENTER APPROPRIATE BASIC FEE AMOUNT =** Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). Number Filed Claims Number Extra Rate **Total Claims** 4 - 20 =X \$50.00 Independent Claims X \$200.00 \$ 1 - 3 =Multiple dependent claim(s) (if applicable) + \$360.00 \$900.00 **TOTAL OF ABOVE CALCULATIONS =** Small Entity Status is hereby asserted. Above fees are reduced by 1/2. \$900.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$900.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$40.00 \$940.00 **TOTAL FEES ENCLOSED =** Amount to be refunded S \$ Amount to be charged a. [X] A check in the amount of \$\overline{9}40.00\$ to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No.23-0975 in the amount of \$______ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 19. CORRESPONDENCE ADDRESS Nils E. Pedersen

CUSTOMER NO.

000513

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December 6, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

:

Takao HORIUCHI et al.

Mail Stop: PCT

Serial No. NEW

Attorney Docket No. 2005-1423A

Filed December 6, 2005

SUBSTRATE PROCESSING SYSTEM

[Corresponding to PCT/JP2004/009577

Filed June 30, 2004]

SUBMISSION OF ENGLISH/JAPANESE DECLARATION AND POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The attached Declaration and Power of Attorney includes both Japanese language text and English language text. The English language text constitutes an accurate translation of the Japanese language text.

Respectfully submitted,

Takao HORIUCHI et al.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Nils E. Pedersen

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NEP/krg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 December 6, 2005